

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Armstrong

Docket No.: 28

Serial No.:

09/893,292

Art Unit:

2629

Filed:

June 26, 2001

Examiner:

Chow, Doon Y.

For:

Image Controller

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant wishes to bring to the attention of the Patent and Trademark Office the information noted on the enclosed forms PTO/SB/08A & 08B that may be considered material to the examination of the above-identified application. Copies of the U.S. Patents cited are not being submitted. However, Applicant has included copies of the foreign patents and non-patent literature.

This Information Disclosure Statement is submitted under 37 C.F.R. §1.97(d) together with a Statement under 37 C.F.R. §1.97(e) in the following paragraph, and a \$180.00 fee set forth in 37 C.F.R. §1.17(p) as this disclosure is being filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee.

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information

contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The information contained in this Information Disclosure Statement was submitted by the defendants in the case styled: *Anascape, Ltd. v. Microsoft Corp., et al.* pending in the United States District Court for the Eastern District of Texas, Lufkin Division and assigned civil action number 9:06-CV-00158-RC, which is a patent infringement lawsuit involving twelve issued U.S. patents that are related to this application.

Please charge the required fee of \$180.00 and any additional amount, or credit any overpayment to Deposit Acct. No 50-1065 of the below mentioned firm. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Attorney for Applicant

Reg. No. 42,541

Date

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Substitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Cor	mplete if Known
Application Number	09/893,292
Filing Date	June 26, 2001
First Named Inventor	Armstrong
Art Unit	2629
Examiner Name	Chow, Doon Y.
Attorney Docket Number	28

		-	U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number - Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
	1	US-Re. 34,095	10-13-1992	Padula, et al.	
	2	US-5,164,697	11-17-1992	Kramer	
	3	US-5,510,812	04-23-1996	O'Mara	
	4	US-3,806,471	04-23-1974	Mitchell	
	5	US-5,207,426	05-04-1993	Inoue	
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	12	US-5,995,026	11-30-1999	Sellers	
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	14	US-6,004,210	12-21-1999	Shinohara	WATER CONTROL OF THE PROPERTY
	15	US-4,527,021	07-02-1985	Morikawa, et al.	
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		FOR	EIGN PATENT DO	CUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	T6
Initials*	No. ¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	
	19	JP 5-87760	11-26-1993	Furukawa, et al.		
	20	JP S61-103836	07-02-1986	Matsumoto, et al.		
	21	JP S53-128861	03-23-1977	Amase, et al.		
	22	JP H05-326217	12-10-1993	Furukawa		
	23	JP H1-40545	12-04-1989	Kawashima		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INF	ORMATION DISC	21.09	SURF	Filing Date	June 26, 2001
	ATEMENT BY AP			First Named Inventor	Armstrong
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	(Use as many sheets as ned	cessary)		Examiner Name	Chow, Doon Y.
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	NON PATENT LITERATURE DOCUMENTS	
Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
159	BALAKRISHNAN, RAVIN, "The Rockin' Mouse: Integral 3D Manipulation on a Plane", Article, March 1997, pp. 311-318, CHI '97, NAA00012561-NAA00012568.	
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161	SONY COMPUTER ENTERTAINMENT INC., "Sony Dual Shock 2 Game Controller", Pictures of Game Controller.	
162	SEGA ENTERPRISES LTD., "Sega Saturn 3D Game Control Pad", Pictures of Game Controller.	
163	NAMCO, "R4 Ridge Racer Type 4", Manual, NAA00005125-NAA00005172.	
164	ZENITH DATA SYSTEMS, "Zenith Z-Star EX Laptop with J-Mouse", Pictures of Game Controller.	
165	COLECO, "Coleco Vision Super Action Controller", Pictures of Game Controller.	
166	COLECO, "Coleco Vision Controller", Pictures of Game Controller.	
167	FAIRCHILD, "Fairchild Video Entertainment System Controllers", Pictures of Game Controller.	
168	NINTENDO, "NES Advantage Controller", Pictures of Game Controller.	
	159 160 161 162 163 164 165 166	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where published. 159 BALAKRISHNAN, RAVIN, "The Rockin' Mouse: Integral 3D Manipulation on a Plane", Article, March 1997, pp. 311-318, CHI '97, NAA00012561-NAA00012568. 160 LOGITECH INC., "Logitech Cyberman Controller", Pictures of Game Controller. 161 SONY COMPUTER ENTERTAINMENT INC., "Sony Dual Shock 2 Game Controller", Pictures of Game Controller. 162 SEGA ENTERPRISES LTD., "Sega Saturn 3D Game Control Pad", Pictures of Game Controller. 163 NAMCO, "R4 Ridge Racer Type 4", Manual, NAA00005125-NAA00005172. 164 ZENITH DATA SYSTEMS, "Zenith Z-Star EX Laptop with J-Mouse", Pictures of Game Controller. 165 COLECO, "Coleco Vision Super Action Controller", Pictures of Game Controller. 166 COLECO, "Coleco Vision Controller", Pictures of Game Controller. 167 FAIRCHILD, "Fairchild Video Entertainment System Controllers", Pictures of Game Controller.

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INF	ORMATION DISC	3L OS	SURF	Filing Date	June 26, 2001
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,	169	NAMCO, "neGcon Controller", Pictures of Game Controller.	
	170	ATARI, "Atari 5200 Controller", Pictures of Game Controller.	
	171	SEGA ENTERPRISES LTD., "Sega 3020 Controller", Pictures of Game Controller.	
	172	COLECO, "Coleco Gemini Controller", Pictures of Game Controller.	
	173	MATTEL, "Intellivision Controllers", Pictures of Game Controller.	
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	175	SEJIN ELECTRON INC., "Quality Input Products", NAA00005471-NAA00005476.	
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	177	SONY COMPUTER ENTERTAINMENT INC., "Sony Playstation Controller", Pictures of Game Controller.	***************************************
	178	"NES Max Controller", Pictures of Game Controller.	

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	179	NINTENDO, "Super Nintendo Controller", Pictures of Game Controller.	
	180	KRAFT, "Kraft Thunderstick Joystick", Pictures of Game Controller.	
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	183	TRIAX, "Turbo Touch 360™ Controller", Pictures of Game Controller.	
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	185	CSI KEYBOARDS, INC., "Design Specifications for Membrane Keyboards", 1988.	
	186	SEJIN ELECTRON INC., "Sejin Notebook Keyboards", NAA00005469-NAA00005470.	
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	189	SEJIN ELECTRON INC., "Sejin Desktop Keyboards", NAA00005465-NAA00005466.	
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	199	MACKENZIE, I. SCOTT, ET AL., "The Tactile Touchpad", Article, March 1997, pp. 309-310, CHI 1997, NAA00012998-NAA00012999.	
	200	MINSKY, MARGARET R., "Manipulating Simulated Objects with Real-world Gestures using a Force and Position Sensitive Screen", Article, July 1984, pp. 195-203, Vol. 18, No. 3, Computer Graphics, NAA00012985-NAA00012993.	
	201	BUXTON, WILLIAM, "A Three-State Model of Graphic Input", Article, 1990, pp. 449-456, Human-Computer Interaction-INTERACT '90, Amsterdam: Elsevier Science Publishers B.V., North Holand, NAA00012959-NAA00012969.	
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	203	LEE, ET AL., "A Multi-Touch Three Dimensional Touch-Sensitive Tablet, Article, April 1985, pp. 21-25, CHI '85 Proceedings, NAA00012980-NAA00012984.	***************************************
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	209	ZHAI, SHUMIN, "User Performance in Relation to 3D Input Device Design", Article, November 1998, pp.50-55, Computer Graphics, NAA00012587-NAA00012592.	
	210	CONNER, BROOKSHIRE D., ET AL., "Three-Dimensional Widget", Article, 1992, pp. 183-231, NAA00012579-NAA00012586.	
	211	HINKLEY, KEN, ET AL., "The VideoMouse: A Camera-Based Multi-Degree-of-Freedom Input Device", Article, 1999, pp. 103-112, Vol. 1, 1, CHI Letters, NAA00012569-NAA00012578.	
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	ATEMENT BY AF			First Named Inventor	Armstrong
317	AICIVICINI DI AF	PLIC	AINI	Art Unit	2629
	(Use as many sheets as ne	cessary)		Examiner Name	Chow, Doon Y.
Sheet	14	of	51	Attorney Docket Number	28

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
*	219	"Sony Flightstick", Wikipedia, NAA00014452.	
	220	"Sega Master System", Wikipedia, NAA00014445-NAA00014451.	
	221	"NES Max", Wikipedia, NAA00014438.	
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	226	"Coleco Vision", Wikipedia, NAA00012315-NAA00012319.	
	227	"Sega Saturn", Wikipedia, NAA00012535-NAA00012545.	
	228	"Playstation 2", Wikipedia, NAA00012523-NAA00012534.	

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Sheet	15	of	51	Attorney Docket Number	28	

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	230	GAMEINNOVATION, "Namco NeGCon", NAA00014437.	
	231	Color Overlay: Hyper Chase, NAA00005178.	
:	232	Color Overlay: Scramble, NAA00005177.	
	234	Color Overlay: Clean Sweep, NAA00005176.	
	235	Color Overlay: Mine Storm, NAA00005175.	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	236	Color Overlay: ArmorAttack, NAA00005174.	
	237	Color Overlay: Berzerk, NAA00005173.	
	238	Photographs of various controllers, NAA00013028-NAA00013099.	
	239	"Interaction Hardware", NAA00012500-NAA0001250.	

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	(Use as many sheets as necessary)			Examiner Name	Chow, Doon Y.	
Sheet	16	of	51	Attorney Docket Number	28	

Cite No. 240 241	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Answers.com, "Bally Professional Arcade", 1978, NAA00014439. LOGITECH INC., "Cyberman 2 Digital Game Controller", User's Manual, 1997, NAA00005017-NAA00005060.	T ²
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242	Answers.com, "Bass Landing Fishing Controller", 1999, NAA00012298.	
243	INTERACT, "MegaRacer", Manual, NAA00005089-NAA00005108.	:
244	SEGA ENTERPRISES, LTD., "Sega Saturn™ 3D Control Pad", Manual, 1996, NAA00005672-NAA00005714.	
245	"Vetrex Arcade System Cartridge, ArmorAttack", Game Cartridge, 1980, NAA00005109-NAA00005122.	
246	SEGA ENTERPRISES, LTD., "Nights into Dreams", Manual, NAA00005193-NAA00005224.	
247	LOGITECH INC., "Magellan 3D Controller User's Manual Version 4.0", User Manual, NAA00005268-NAA00005305.	
248	AGETEC, "Bass Landing Controller", Pictures of Game Controller.	
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Sub	Substitute for form 1449A/PTO			Application Number	09/893,292
1	NFORMATION DISC	ا م	HIDE	Filing Date	June 26, 2001
_	-		_	First Named Inventor	Armstrong
,	STATEMENT BY APP	LIC	ANI	Art Unit	2629
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Sheet	2	of	51	Attorney Docket Number	28

			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No. ¹	Number - Kind Code ^{2 (if known)}	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
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	25	US-3,643,041	02-15-1972	Jackson	
	26	US-4,315,238	02-09-1982	Eventoff	
	27	US-4,419,653	12-06-1983	Waigand	
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	42	JP H7-112073	05-02-1995	Yamaoka					
	43	JP H06-154422	06-03-1994	Sakurai, et al.					
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	45	JP H01-62627	08-21-1989	Asano					
,	46	JP H05-190051	07-30-1993	Tanami					

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1	Signature	Considered	

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	Substitute for form 1449A/PTO			Application Number	09/893,292	
	INFORMATION		IIDE	Filing Date	June 26, 2001	
	••••			First Named Inventor	Armstrong	
	STATEMENT BY	YAPPLIC	ANI	Art Unit	2629	
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Shee	et 3	of	51	Attorney Docket Number	28	

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Cite Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan			
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	69	EP 867 212 A1	09-30-1998	Goto				

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Ι,	NFORMATION DISC	ا م	LIDE	Filing Date	June 26, 2001	
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	94	US-1,904,352	08-18-1933	Bradley		
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	111	JP 60-5373	01-11-1985	Takemura					
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	114	JP 63-20241	01-27-1988	Yoshiyuki					

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8				Application Number	09/893,292	
	INFORMATION DISC	ا ۸۵	SIIDE	Filing Date	June 26, 2001	
			-	First Named Inventor	Armstrong	
	STATEMENT BY APP	LIC	ANI	Art Unit	2629	
	(Use as many sheets as necessary)			Examiner Name	Chow, Doon Y.	
Sheet	6	of	51	Attorney Docket Number	28	

			U.S. PATENT	DOCUMENTS	_
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
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Examiner Cite No.1		Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
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				First Named Inventor	Armstrong		
517	STATEMENT BY APPLICANT			Art Unit	2629		
(Use as many sheets as necessary)				Examiner Name	Chow, Doon Y.		
Sheet	17	of	51	Attorney Docket Number	28		

	NON PATENT LITERATURE DOCUMENTS						
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***************************************	253	FAIRCHILD, "Instructions for Videocart-10 Catridge Games", 1977, NAA00005241-NAA00005247.					
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	257	GCE, "Vectrex Arcade System Cartridge, Armor Attack", 1982, NAA00014524.					
	258	GCE, "Vectrex Arcade System Cartridge, Star Trek", 1982, NAA00014524.					
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	•			First Named Inventor	Armstrong	
317	STATEMENT BY APPLICANT (Use as many sheets as necessary)			Art Unit	2629	
				Examiner Name	Chow, Doon Y.	
Sheet	18	of	51	Attorney Docket Number	28	

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	261	GCE, "Vectrex Arcade System Cartridge, Scramble", 1982, NAAA00014527.	**************************************
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	270	http://globalservices.com, Web Page Printouts, NAA00013320-NAA00013327.	
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	273	PERFORMANCE/INTERACT, "MegaRacer Controller", Pictures of Game Controller.	
	274	SEGA ENTERPRISES LTD., "Sega 3060 Controller", Pictures of Game Controller.	
***************************************	275	LOGITECH INC., Logitech Magellan", Pictures of Game Controller.	
***************************************	276	Request for Inter Partes Re-Examination Patent No. 6,344,791.	
	277	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 1.	
	278	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 2.	
	279	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 3.	

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Sheet	20	of	51	Attorney Docket Number	28	

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281	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 5.	
282	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 6.	
283	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 7.	
284	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 8.	
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286	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 10.	
287	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 11.	
288	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 12.	
289	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 13.	
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Sheet	21	of	51	Attorney Docket Number	28

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	290	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 14.	
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	292	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 16.	
	293	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,344,791, Exhibit 17.	
	294	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 18.	
	295	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 19.	
	296	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 20.	
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	298	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 22.	
***************************************	299	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 23.	

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	304	Request for Inter Partes Re-Examination Patent No. 6,344,791, Exhibit 28.					
	305	Request for Ex Partes Re-Examination Patent No. 6,135,886.					
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	309	Request for Ex Partes Re-Examination Patent No. 6,135,886, Exhibit 4.					

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318	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 2.	
319	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 3.	
3 3 3	312 313 314 315 316 317	Request for Ex Partes Re-Examination Patent No. 6,135,886, Exhibit 6. Request for Ex Partes Re-Examination Patent No. 6,135,886, Exhibit 7. Request for Ex Partes Re-Examination Patent No. 6,135,886, Exhibit 8. Request for Ex Partes Re-Examination Patent No. 6,135,886, Exhibit 9. Request for Ex Partes Re-Examination Patent No. 6,135,886, Exhibit 10. Request for Inter Partes Re-Examination Patent No. 6,563,415. Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 1. Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 1.

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	ATEMENT BY API			First Named Inventor	Armstrong	
317	ALEMENT DI API	PLIC	ANI	Art Unit	2629	
	(Use as many sheets as nece	essary)		Examiner Name	Chow, Doon Y.	
Sheet	24	of	51 ·	Attorney Docket Number	28	

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:	329	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 13.			

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	331	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 15.	
	332	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 16.	
	333	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 17.	
	334	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 18.	
	335	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 19.	
	336	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 20.	
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	339	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,563,415, Exhibit 23.	

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Sheet	26	of	51	Attorney Docket Number	28

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	341	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 25.	
	342	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 26.	
	343	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,563,415, Exhibit 27.	
	344	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 28.	
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***************************************	346	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 30.	
	347	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 31.	
	348	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 32.	
***************************************	349	Request for Inter Partes Re-Examination Patent No. 6,563,415, Exhibit 33.	

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Sheet	27	of	51	Attorney Docket Number	28

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	351	Request for Ex Partes Re-Examination Patent No. 5,999,084.	
	352	Request for Ex Partes Re-Examination Patent No. 5,999,084, Exhibit 1.	
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	354	Request for Ex Partes Re-Examination Patent No. 5,999,084, Exhibit 3.	
	355	Request for Ex Partes Re-Examination Patent No. 5,999,084, Exhibit 4.	
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	357	Request for Ex Partes Re-Examination Patent No. 5,999,084, Exhibit 6.	
***************************************	358	Request for Ex Partes Re-Examination Patent No. 6,208,271.	
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				First Named Inventor	Armstrong
31/	AIEWENI DI A	PPLIC	ANI	Art Unit	2629
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Sheet	28	of	51	Attorney Docket Number	28

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	383	Request for Ex Partes Re-Examination Patent No. 6,208,271, Exhibit 25.	
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	404	Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 7.	
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	406	Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 9.	
	407	Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 10	
	408	Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 11.	
	409	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,400,303, Exhibit 12.	

Examiner	Date
Signature	Considered

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Substitute for form 1449B/PTO				Complete if Known		
Substitute for form 144557110				Application Number	09/893,292	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	June 26, 2001	
				First Named Inventor	Armstrong	
317	(Use as many sheets as necessary)			Art Unit	2629	
				Examiner Name	Chow, Doon Y.	
Sheet	33	of	51	Attorney Docket Number	28	

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
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	412	Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 15.			
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·····	419	Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 22.			

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Substitute for form 1449B/PTO				Complete if Known		
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INF	ORMATION DISC	CL 09	SURF	Filing Date	June 26, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Armstrong	
				Art Unit	2629	
(Use as many sheets as necessary)			·	Examiner Name	Chow, Doon Y.	
Sheet	34	of	51	Attorney Docket Number	28	

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Request for Inter Partes Re-Examination Patent No. 6,351,205.	
Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 1.	
Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 2.	
Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 3.	
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-	Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 25. Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 26. Request for Inter Partes Re-Examination Patent No. 6,400,303, Exhibit 27. Request for Inter Partes Re-Examination Patent No. 6,351,205. Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 1. Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 2. Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 3.

Examiner		Date			
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INFORMATION DISCLOSURE First Named Inventor Armstrong STATEMENT BY APPLICANT Art Unit 2629 Chow, Doon Y. (Use as many sheets as necessary) **Examiner Name** Sheet 51 Attorney Docket Number

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
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	439	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,351,205, Exhibit 15.				

Examiner		Date	
Signature	•	Considered	

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INF	ORMATION DISC	31.09	SURF	Filing Date	June 26, 2001	
	ATEMENT BY AP			First Named Inventor	Armstrong	
317	ALEMENT DI AP	PLIC	AINI	Art Unit	2629	
	(Use as many sheets as neo	cessary)		Examiner Name	Chow, Doon Y.	
Sheet	36	of	51	Attorney Docket Number	28	

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<u></u>	449	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 25.			

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	450	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 26.				
***************************************	451	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 27.				
	452	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 28.				
	453	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 29.				
	454	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 30.				
	455	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 31.				
	456	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 32.				
	457	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 33.				
······································	458	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 34.				
	459	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 35.				

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Signature	Considered

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INF	ORMATION DISC	:LO9	SURF	Filing Date	June 26, 2001			
				First Named Inventor	Armstrong			
317	ATEMENT BY AP	PLIC	ANI	Art Unit	2629			
	(Use as many sheets as ned	cessary)		Examiner Name	Chow, Doon Y.			
Sheet	38	of	51	Attorney Docket Number	28			

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	461	Request for Inter Partes Re-Examination Patent No. 6,351,205, Exhibit 37.	****		
·	462	Request for Inter Partes Re-Examination Patent No. 6,343,991.			
	463	Request for Inter Partes Re-Examination Patent No. 6,343,991, Exhibit 1.			
	464	Request for Inter Partes Re-Examination Patent No. 6,343,991, Exhibit 2.			
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	469	Request for Inter Partes Re-Examination Patent No. 6,343,991, Exhibit 7.			

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Signature	 Considered

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INF	ORMATION DISC	1 09	IIRF	Filing Date	June 26, 2001
				First Named Inventor	Armstrong
317	STATEMENT BY APPLICANT			Art Unit	2629
(Use as many sheets as necessary)				Examiner Name	Chow, Doon Y.
Sheet	41	of	51	Attorney Docket Number	28

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	493	Request for Inter Partes Re-Examination Patent No. 6,347,997.	
4	494	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 1.	
	495	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 2.	
	496	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 3.	
711111	497	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 4.	<u> </u>
	498	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,347,997, Exhibit 5.	
	499	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,347,997, Exhibit 6.	

Examiner	Date			
Signature	Considered			

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Substitu	ite for form 1449B/PTO				Complete if Known
·				Application Number	09/893,292
INF	ORMATION DISC	CL 09	SURF	Filing Date	June 26, 2001
				First Named Inventor	Armstrong
314	STATEMENT BY APPLICANT (Use as many sheets as necessary)			Art Unit	2629
				Examiner Name	Chow, Doon Y.
Sheet	42	of	51	Attorney Docket Number	28

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	500	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 7.				
	501	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 8.				
	502	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 9.				
	503	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 10.				
	504	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 11.				
	505	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 12.				
	506	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 13.				
	507	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 14.	·			
	508	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,347,997, Exhibit 15.				
	509	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 16.				

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Signature		Considered	- 1

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		NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
	510	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 17.							
	511	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 18.							
	512	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 19.							
	513	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 20.							
	514	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 21.							
	515	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 22.							
	516	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 23.							
	517	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 24.							
	518	Request for Inter Partes Re-Examination Patent No. 6,347,997, Exhibit 25.							
	519	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,347,997, Exhibit 26.							

Examiner		Date	
Signature		Considered	

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0000				Application Number	09/893,292
INF	ORMATION DISC	CL 09	SURF	Filing Date	June 26, 2001
				First Named Inventor	Armstrong
317	STATEMENT BY APPLICANT (Use as many sheets as necessary)			Art Unit	2629
				Examiner Name	Chow, Doon Y.
Sheet	44	of	51	Attorney Docket Number	28

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	520	Request for Ex Partes Re-Examination Patent No. 6,222,525.	
	521	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 1.	
:	522	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 2.	
	523	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 3.	
i	524	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 4.	
	525	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 5.	
	526	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 6.	
	527	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 7.	****************
	528	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 8.	
	529	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 9.	

Examiner	Date	
Signature	Considered	

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Substitu	ute for form 1449B/PTO				Complete if Known
				Application Number	09/893,292
INF	ORMATION DISC	:1 05	SURF	Filing Date	June 26, 2001
			_	First Named Inventor	Armstrong
317	STATEMENT BY APPLICANT (Use as many sheets as necessary)			Art Unit	2629
				Examiner Name	Chow, Doon Y.
Sheet	45	of	51	Attorney Docket Number	28

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	530	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 10.	
	531	Request for Ex Partes Re-Examination Patent No. 6,222,525, Exhibit 11.	
	532	Request for Inter Partes Re-Examination Patent No. 6,906,700.	
	533	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 1.	
	534	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 2.	
	535	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 3.	
	536	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 4.	
***************************************	537	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 5.	
	538	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 6.	
	539	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 7.	

Examiner		Date	
Signature	_	Considered	

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Substitu	ite for form 1449B/PTO				Complete if Known
Gubolino				Application Number	09/893,292
INF	ORMATION DISC	31 05	SURF	Filing Date	June 26, 2001
	ATEMENT BY AP			First Named Inventor	Armstrong
317	ALEMIENT DI AF	PLIC	AIN I	Art Unit	2629
	(Use as many sheets as neo	cessary)		Examiner Name	Chow, Doon Y.
Sheet	46	of	51	Attorney Docket Number	28

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*							
	540	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 8					
	541	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 9.					
	542	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 10.					
:	543	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 11.					
<u></u>	544	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 12.					
	545	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,906,700, Exhibit 13.					
	546	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 14.					
	547	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 15.					
	548	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 16.					
***************************************	549	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,906,700, Exhibit 17.					

Examiner	Date
Signature	Considered

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Filing Date June 26, 2001 INFORMATION DISCLOSURE First Named Inventor Armstrong STATEMENT BY APPLICANT Art Unit 2629 Chow, Doon Y. **Examiner Name** (Use as many sheets as necessary) 28 Sheet 51 Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	550	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 18.	
	551	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 19.	
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	556	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 24.	
	557	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 25.	
***************************************	558	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 26.	
	559	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 27.	

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Examiner		Date				
Signature		Considered				

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Substitu	Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known
000000	3.0 101 101111 1 1 1 1 0 5 1 1 0			Application Number	09/893,292
INF	ORMATION DISC	CL 09	SURF	Filing Date	June 26, 2001
				First Named Inventor	Armstrong
317	AIEWENI DI AP	PLIC	JANI	Art Unit	2629
	(Use as many sheets as nee	cessary)		Examiner Name	Chow, Doon Y.
Sheet	48	of	51	Attorney Docket Number	28

		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*						
	560	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 28.				
	561	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 29.				
	562	Request for Inter Partes Re-Examination Patent No. 6,906,700, Exhibit 30.	***************************************			
	563	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 5,999,084, Exhibit 1.				
	564	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,102,802, Exhibit 2.				
	565	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,135,886, Exhibit 3.				
	566	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,208,271, Exhibit 4.				
	567	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,222,525, Exhibit 5.				
	568	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,343,991, Exhibit 6.				
<u></u>	569	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,344,791, Exhibit 7.				

Examiner	Date	
Signature	Considered	

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Substitu	ite for form 1449B/PTO			Complete if Known		
				Application Number	09/893,292	
INF	ORMATION DISC	LOS	SURF	Filing Date	June 26, 2001	
	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Armstrong	
317				Art Unit	2629	
				Examiner Name	Chow, Doon Y.	
Sheet	49	of	51	Attorney Docket Number	28	

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	er Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, and/or country where published.						
	570	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,347,997, Exhibit 8.					
	571	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,351,205< exhibit 9.					
	572	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,400,303, Exhibit 10.					
<u>.</u>	573	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,563,415, Exhibit 11.					
	574	Invalidity Contentions filed by Microsoft Corporation, U.S. Patent No. 6,906,700, Exhibit 12.					
	575	Preliminary Invalidity Contentions filed by Nintendo of America Inc.					
	576	Request for Inter Partes Re-Examination Patent No. 6,563,415.					
	577	Request for Inter Partes Re-Examination Patent No. 6,563,415, Apendix A.					
	578	Request for Inter Partes Re-Examination Patent No. 6,563,415, Apendix B.	<u> </u>				
	579	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,563,415, Apendix C.					

Examiner	Date	
Signature	Considered	

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Substitute for form 1449B/PTO					Complete if Known			
					Application Number	09/893,292		
	INE	ORMATION DISC	21.09	SURF	Filing Date	June 26, 2001		
					First Named Inventor	Armstrong		
STATEMENT BY APPLICANT			ANI	Art Unit	2629			
(Use as many sheets as necessary)					Examiner Name	Chow, Doon Y.		
Sheet 50 of 51		Attorney Docket Number	28					

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xaminer citals* Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
580	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,563,415, Apendix D.					
581	Request for Inter Partes Re-Examination Patent No. 6,563,415, Apendix E.					
582	Request for Inter Partes Re-Examination Patent No. 6,351,205.					
583	Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix A.					
584	Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix B.					
585	Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix C.					
586	Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix D.					
587	Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix E.					
588	Request for Inter Partes Re-Examination Patent No. 6,344,791.					
589	Request for Inter Partes Re-Examination Patent No. 6,344,791, Apendix A.					
!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!	581 582 583 584 585 586 587	Request for Inter Partes Re-Examination Patent No. 6,563,415, Apendix E. Request for Inter Partes Re-Examination Patent No. 6,351,205. Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix A. Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix B. Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix C. Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix C. Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix D. Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix E. Request for Inter Partes Re-Examination Patent No. 6,351,205, Apendix E. Request for Inter Partes Re-Examination Patent No. 6,344,791.				

Examiner	Date	
Signature	Considered	

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Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. Applicant's unique citation designation number (optional). Applicant is to place a check mark here it English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitu	ite 10/10/11/1449B// 10			Application Number	09/893,292	
INF	ORMATION DISC	21.09	SURF	Filing Date	June 26, 2001	
	ATEMENT BY AP			First Named Inventor	Armstrong	
317	ALEMENT DI AF	FLIC	AINI	Art Unit	2629	
	(Use as many sheets as ne	cessary)		Examiner Name	Chow, Doon Y.	
Sheet 51 of 51		Attorney Docket Number	28			

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
	590	Request for <i>Inter Partes</i> Re-Examination Patent No. 6,344,791, Apendix B.					
	591	Request for Inter Partes Re-Examination Patent No. 6,344,791, Apendix C.					
	592	Request for Inter Partes Re-Examination Patent No. 6,344,791, Apendix D.					
!	593	Microsoft Corporation's Preliminary Invalidity Contentions Prusuant to Patent L.R. 3-3.					
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;	STATEMENT BY APPLICANT (Use as many sheets as necessary)			Art Unit	2629	
				Examiner Name	Chow, Doon Y. •	
Sheet	7	of	51	Attorney Docket Number	28	

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